

11/26/01

Attorney Docket No. 2271/65903

Total Pages 3

Express Mail Label No. EL531588191US

A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application

Washington, D. C. 20231

Transmitted herewith for filing under 37 CFR 1.53(b) are the specification and claims of the nonprovisional patent application of:

Yasunori TSUKIOKA

for

Inventor(s)

METHOD AND APPARATUS FOR PERFORMING
MULTI-FUNCTION AND EFFECTIVELY MANAGING MEMORIES

Title of Invention

APPLICATION ELEMENTS ENCLOSED:

1. ☒ Specification (total pages 43 including:
 - a. 13 pages of claims (30 claims)
 - b. 1 page(s) Abstract
2. ☒ 11 sheets of informal ☒ formal drawings (Figs. 1-10)
3. ☒ Oath or declaration of Applicant(s) (3 total pages)
 - a. Newly executed (original)
 - b. ☒ Unexecuted
 - c. Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Item 14 completed)
4. Deletion of Inventor(s)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
5. Incorporation By Reference
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied in item 3b is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. Microfiche Computer Program (Appendix)

APPLICATION PARTS ENCLOSED:

7. An assignment (cover sheet & document(s))
8. 37 CFR 3.73(b) Statement (when there is an assignee)
9. ☒ Power of Attorney
 - a. Newly executed (original)
 - b. ☒ Unexecuted
 - c. Copy from a prior application

11/26/01
JC960 U.S. PRO

JC978 U.S. PRO
09/992948

11/05/01

0992948-10501

Copies of IDS Citations

12. X Return Receipt Postcard (MPEP 503)

13.____ Applicant(s) hereby establish small entity status under 37 C.F.R. §1.9 and §1.27

14. ____ If a Continuing Application, check the appropriate box and supply the requisite information:

☐ Continuation of prior application No.: _____ filed _____

☐ Divisional of prior application No.: _____ filed _____

☐ Continuation-in-part (CIP) of prior application No.: _____ filed _____

15. ___ Amend the specification by inserting before the first line of page one: --This is a ___ continuation
divisional of application Serial No. ___ filed ___.--

16. X Priority of application Nos. 2000-343855 and 2001-089835 filed in Japan on 11/10/00 and 03/27/01. Applicant hereby claims priority under 35 U.S.C. § 119.

X A certified copy of priority application Nos. 2000-343855 and 2001-089835 are enclosed

_____ A certified copy of priority application No. _____ has been filed in prior application
S.N. _____ filed _____

17. Other (identify) _____

The filing fee is calculated as follows:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT


| | | | | | | | | | |
|---|-----------------|---|------------------|---|-----------------|-----------------|---|-----------------|-----------------|
| | | | | | Rate | | | Fee | |
| | Number Filed | | Number Extra* | | Small Entity | Other Entity | | Small Entity | Other Entity |
| Total Claims | 30 - 20 | = | 10 | x | \$ 9 | \$ 18 | = | \$ 0 | \$ 180 |
| Indep. Claims | 10 - 3 | = | 7 | x | \$ 42 | \$ 84 | = | \$ 0 | \$ 588 |
| Multiple Dependent Claims Presented: Yes ____ No <u>X</u> | | | | | \$140 | \$280 | = | \$ 0 | \$ 0 |
| If the difference in column 1 is less than zero, enter "0" in column 2 | | | | | Basic Fee | | | \$ 370 | \$ 740 |
| | | | | | Total Fee | | | \$ | \$ 1,508 |

- CORRESPONDENCE ADDRESS:

Ivan S. Kavrukov
Registration No. 25,161
Cooper & Dunham LLP
1185 Avenue of the Americas
New York, New York 10036
United States of Americas
Tel.: (212) 278-0400
Fax: (212) 391-0525

Respectfully submitted,

November 5, 2001
Date


Richard F. Jaworski, Reg. No. 33,515
Attorney for Applicant
c/o Cooper & Dunham LLP
1185 Avenue of the Americas
New York, New York 10036
Tel.: (212) 278-0400

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Yasunori TSUKIOKA

Serial No. : Group Art Unit:

Date Filed : Concurrently Herewith Examiner:

For : METHOD AND APPARATUS FOR PERFORMING MULTI-FUNCTION AND EFFECTIVELY MANAGING MEMORIES

1185 Avenue of the Americas
New York, N.Y. 10036

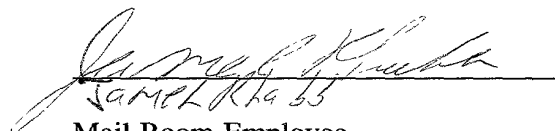
Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

EXPRESS MAIL
LETTER OF TRANSMITTAL

Express Mail mailing label number: EL531588191US

Date of Deposit: November 5, 2001

I hereby certify that the above-identified application (consisting of a 43-page specification (including 13 pages of claims (30 claims) and a 1 page Abstract), and 11 sheets of formal drawings (Figs. 1-10)), 3 copies of an Application Transmittal Form, unexecuted Declaration and Power of Attorney, certified copies of Japanese Application Nos. 2000-343855 and 2001-089835 and a check for the \$1,508 filing fee, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.


Mail Room Employee
Depositing Express Mail Material

Attorney for Applicant:
IVAN S. KAVRUKOV, Reg. No. 25,161
Cooper & Dunham LLP
Tel: (212) 278-0400

10501-84528660